



Interactive Brokers Central Europe Zrt.

ANNOUCEMENT

Annex 7 to the GBR

**The list of outsourced activities and
the person performing them**

Valid from: 1 May 2022

Referring to Act CXXXVIII of 2007 on Investment Firms and Commodity Dealers, and on the Regulations Governing their Activities (Bsz.) we notify our Customers on the following.

I.

Interactive Brokers Central Europe Zrt. has entered into an outsourcing agreement with external service providers within the IB group for the following services:

1. Online customer support and via telephone, complaint handling; design, testing, signoff and implementation of trade surveillance and AML/CFT monitoring alerts and reports; software development, IT system operation related tasks, ensuring the availability of a real-time trading system; oversight of risk monitoring systems (oversight of position liquidation, setting of hedging rules, closing of short positions, monitoring of negative equity, preparation of risk reports); monitoring the communication process of the execution venue; assist in front office sales force workflow, customer acquisition and support of client onboarding process are performed by **IB LLC** (headquarters: One Pickwick Plaza, Greenwich, CT 06830 USA).
2. Accounting services; processing and payment of incoming invoices; client onboarding support services; support for internally developed and IB licensed software, enterprise software license management and technical support; providing IT infrastructure, device maintenance, data backup and IT security tasks, including electronic mail security service; IT system monitoring and system development activities; conduct of special due diligence checks and support of enhanced due diligence reviews are performed by **IBG LLC** (headquarters: One Pickwick Plaza, Greenwich, CT 06830 USA).
3. Online customer support and via telephone; monitoring the communication process of the execution venues; activities related to the oversight of risk monitoring systems (position liquidation oversight, hedging rule setting, risk reporting) are performed by **Interactive Brokers Hong Kong Limited** (headquarters: Suite 1512, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong SAR).
4. Performing human resources strategy and payroll processing tasks; treasury services, supervision of cash management activities related to banks and clearing entities accounts; overseeing liquidity and funding management; online customer support and via telephone, providing 24-hour customer service; IT systems operation and software development tasks; oversight of risk monitoring systems; monitoring the communication process of the execution venues; assist in front office sales force workflow, customer acquisition and support of client onboarding process; preparation of MIFIR and EMIR reports are performed by **IBKR Financial Services AG** (headquarters: Gotthardstrasse 3, 6300, Zug, Switzerland).
5. Advising regarding data protection obligations and carrying out the Data Protection Officer function; assist in front office sales force workflow, customer acquisition and support of client onboarding process; account opening process and client services (handling and resolving queries) are performed by **Interactive Brokers (U.K.) Limited** (headquarters: Level 20 Heron Tower, 110 Bishopsgate, London EC2N 4AY).
6. Identification of providers/vendors, onboarding process, relationship management, sample checks and reviews in relation to investment research and financial analysis services are performed by **Global Financial Information Services GmbH** (GFIS) (headquarters: 6330 Cham, Gewerbestrasse 11, Switzerland)

II.

Interactive Brokers Central Europe Zrt. has entered into an outsourcing agreement with external service providers outside the IB group for the following services:

1. Providing complex information technology solution for the direct electronic customer due diligence and processing personal data of clients subject to direct electronic customer due diligence are performed by **TechTeamer Kft.** (headquarters: 1015 Budapest, Szabó Ilonka utca 9.).